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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------------------|-------------|----------------------|-------------------------|------------------|
| 09/697,110 | 10/26/2000 | Makoto Ishii | 7217/62910 | 3916 |
| 7590 03/08/2004 | | | EXAMINER | |
| JAY H. MAIOLI | | | BOWES, SARA E | |
| Cooper & Dunh | | | | |
| 1185 Avenue of the Americas | | | ART UNIT | PAPER NUMBER |
| New York, NY 10036 | | | 2136 | · |
| | | | DATE MAILED: 03/08/2004 | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | | | |
|--|------------------------|---|--|--|--|--|--|
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| Office Action Summary | 09/697,110 | ISHII, MAKOTO | | | | | |
| Omce Action Guilliary | Examiner | Art Unit | | | | | |
| The MAN INC DATE of this communication | Sara Bowes | 2136 | | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status | | | | | | | |
| <u> </u> | | | | | | | |
| 1) Responsive to communication(s) filed on | | | | | | | |
| 2a)☐ This action is FINAL . 2b)☒ This action is non-final. 3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | | |
| | | | | | | | |
| Disposition of Claims | | | | | | | |
| 4) Claim(s) 1-24 is/are pending in the application. | | | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | | |
| 6)⊠ Claim(s) <u>1-24</u> is/are rejected. | | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | | |
| 8) Claim(s) are subject to restriction and/or election requirement. | | | | | | | |
| Application Papers | | | | | | | |
| 9)⊠ The specification is objected to by the Examiner. | | | | | | | |
| 10)⊠ The drawing(s) filed on <u>26 October 2000</u> is/are | e: a)□ accepted or b)⊠ | objected to by the Examiner. | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | | |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | | |
| 12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a)□ All b)□ Some * c)⊠ None of: | | | | | | | |
| 1.⊠ Certified copies of the priority documents have been received. | | | | | | | |
| 2. Certified copies of the priority documents have been received in Application No. | | | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | | |
| application from the International Bureau (PCT Rule 17.2(a)). | | | | | | | |
| * See the attached detailed Office action for a list of the certified copies not received. | | | | | | | |
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| Attachment(s) | _ | | | | | | |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | | v Summary (PTO-413) o(s)/Mail Date | | | | | |
| 3) X Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08 |) 5) Notice o | f Informal Patent Application (PTO-152) | | | | | |
| Paper No(s)/Mail Date <u>5/29/01</u> . U.S. Patent and Trademark Office | 6) | | | | | | |

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DETAILED ACTION

Priority

Acknowledgment is made of applicant's claim for foreign priority based on an application filed in Japan on 10/28/1999. It is noted, however, that applicant has not filed a certified copy of the P11-307637 application as required by 35 U.S.C. 119(b).

Oath/Declaration

The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because: inventor's signature is not present.

Drawings

The drawings are objected to because in figure 1, the service operating company is not labeled.

The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they do not include the following reference sign(s) mentioned in the description: S101 - S109. The steps are referred to 101-109 in the drawing.

The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference sign(s) not mentioned in the description: Internet 6. On page 6, it is suggested that Internet indicate the reference that it refers to in figure 1.

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A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

Specification

The disclosure is objected to because of the following informalities:

On page 11, paragraph 2, it is suggested that "exits" be replaced with "exists".

On page 18, line 3, it is suggested that "holds" be replaced with "hold".

On page 21, line 3, it is suggested that "expected" be replaced with "unexpected".

On page 20, it is suggested that beginning and ending quotations be included.

Appropriate correction is required.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-2, 4-7, 10-12, 13-14, 16-19, and 22-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,574,785 to Ueno et al. in view of U.S. Patent No. 5,959,978 to Horikoshi et al.

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Referring to claims 1 and 13, Ueno et al. teach a data receiving method/unit, comprising:

- receiving means for receiving signals [figure 2, RECEIVER 200];
- data extracting means for extracting required data from among said digital signal data obtained by said converting means to form extracted data [figure 2, SEPARATING SECTION 210];
- decoding-key-setting means for setting a decoding key required for decoding the extracted data [figure 2, CIPHER KEY DETERMINING SECTION 215],
- decoding means for decoding the extracted data by using the decoding key set by said decoding-key- setting means to form decoded data [figure 2, DATA DECIPHERING SECTION 213]; and
- examination means for examining the decoded data decoded by said decoding means based on a predetermined standard [figure 2, ERROR DETECTING SECTION 214 and column 5, lines 44-47].
 - Ueno et al. do not explicitly teach a data receiving method/unit, comprising:
- converting means for converting signals received by said receiving means into digital signal data;
- deletion means for deleting data determined by said examination means as have
 not been decoded to meet the predetermined standard.

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However, Examiner takes Official Notice that converting means for converting signals received by said receiving means into digital signal data is conventional and well known.

It would have been obvious at the time the invention was made to one of ordinary skill in the art to employ an analog-to-digital converter in the receiver of Ueno et al. since Examiner takes Official Notice that converting means for converting signals received by said receiving means into digital signal data is conventional and well known.

However, Horikoshi et al. disclose a data receiving method/unit, comprising:

 deletion means for deleting data determined by said examination means as have not been decoded to meet the predetermined standard [Abstract].

It would have been obvious to one of ordinary skill in the art at the time tihe invention was made to apply Horikoshi et al's teaching of a communication system to the system and method of Ueno et al., such that Ueno et al.'s system would delete data if the correct cipher key is not used. One would have been motivated to modify Ueno et al.'s system as such in order to provide prevent meaningless data from occupying a portion of the memory space [Abstract, Horikoshi et al.]

Referring to claims 2 and 14, Ueno et al. as modified teach the data receiving method/unit according to Claims 1 and 13 respectfully, wherein data included in the signals received by said receiving means are computer-processible data [column 11, lines 55-57 of Horikoshi et al.].

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Referring to claims 4 and 16, Ueno et al. as modified teach the data receiving method/unit according to Claims 3 and 15 respectfully, wherein the step of determining includes determining whether decoding has been performed meeting the predetermined standard in real time for each packet of the extracted data [column 12, lines 41-45].

Referring to claims 5 and 17, Ueno et al. as modified teach the data receiving method/unit according to Claims 1 and 13 respectfully, wherein the step of determining includes determining whether a result of decoding a decoding diagnosis code added to each packet of the extracted data is coincident with a code used at a transmitting side [figure 4, CHK].

Referring to claims 6 and 18, Ueno et al. as modified teach the data receiving method/unit according to Claims 5 and 17 respectfully, wherein said decoding diagnosis code is a constant [column 12, lines 17-19].

Referring to claims 7 and 19, Ueno et al. as modified teach the data receiving method according to Claims 4 and 16 respectfully, wherein in the step of deleting, data which has not been decoded to meet the predetermined standard in real time is deleted in real time [Abstract, Horikoshi et al.].

Referring to claims 10 and 22, Ueno et al. as modified teach the data receiving method/unit according to Claims 1 and 13 respectfully, wherein when said

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predetermined decoding key does not exist upon decoding a packet of the extracted data, the packet is deleted [Abstract, Horikoshi et al.].

Referring to claims 11 and 23, Ueno et al. as modified teach the data receiving method/unit according to Claims 1 and 13 respectfully, wherein a key identical to a key used at a transmitting side for transmitting said signals received at said receiving means is used as the decoding key set by said decoding- key- setting means [column 5, lines 39-44].

Referring to claims 12 and 24, Ueno et al. as modified teach the data receiving method/unit according to Claims 1 and 13 respectfully, further comprising output means for outputting only data treated by said examination means as have been decoded to meet the predetermined standard [column 12, lines 63-65].

Claims 3 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,574,785 to Ueno et al. in view of U.S. Patent No. 5,959,978 to Horikoshi et al. in further view of U.S. Patent No. 5,781,135 to Kim et al..

Referring to claims 3 and 15, Ueno et al. as modified teach all limitation of claims 3 and 15 except wherein said decoding means decodes each packet of the extracted data in real time.

However, Kim et al. disclose the data receiving method/unit wherein said decoding means decodes each packet of the extracted data in real time [Abstract].

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It would have been obvious to one of ordinary skill in the art at the time the invention was made to apply Kim et al.'s teaching of real time decoding to the system and method of Ueno et al. as modified such that Ueno et al.'s decoder (data deciphering section 213 of figure 2) would be a real time decoder. One would have been motivated to modify Ueno et al.'s system as such in order to expedite the decoding process.

Claims 8-9 and 20-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,574,785 to Ueno et al. in view of U.S. Patent No. 5,959,978 to Horikoshi et al. in further view of U.S. Patent No. 5,919,257 to Trostle.

Referring to claims 8 and 20, Ueno et al. as modified teach all limitation of claims 8 and 20 except wherein when said decoding means determines that address data included in the converted data from said converting means is directed to said data receiving unit, said decoding means performs decoding.

However, Trostle discloses the data receiving method/unit wherein when said decoding means determines that address data included in the converted data from said converting means is directed to said data receiving unit, said decoding means performs decoding [column 6, lines 6-10].

It would have been obvious to one of ordinary skill in the art at the time the invention was made to Trostle's teaching to the system and method of Ueno et al. as modified such that Ueno et al.'s system would transmit and receive an address packet

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identifying the data receiving unit. One would have been motivated to modify Ueno et al.'s system as such in order transmit the requested data to the proper user location.

Referring to claims 9 and 21, Ueno et al. as modified teach the data receiving method/unit according to Claims 1 and 13 respectfully, wherein said decoding-key-setting means sets the decoding key based on an external input [column 6, lines 2-4 of Trostle].

Conclusion |

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- U.S. Patent No. 6,223,089 to Page;
- U.S. Patent No. 6,015,088 to Parker et al.;
- U.S. Patent No. 6,424,677 to Moeller et al.;
- U.S. Patent No. 5,706,290 to Shaw et al.;

Reference: Error Control Systems for Digital Communication and Storage to Stephen B. Wicker.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sara Bowes whose telephone number is 703-305-0326. The examiner can normally be reached on 7:30-4:00, Monday - Friday.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz R Sheikh can be reached on 703-305-9648. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

seb 3/3/2004

SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100